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JC961 U.S. PTO

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Approvedforusethrough10/31/2002.OMB0651-0032
U.S. Patentand Trademark Office; U.S. DEPARTMENT OF COMMERCE
UnderthePaperworkReductionActof1995, nopersonsarerequiredtoresponddtoacollectionofinformationunlessitdisplaysavalidOMBcontrolnumber.

PTO/SB/05(03-01)

UTILITY PATENTAPPLICATION TRANSMITTAL

(Onlyformewnonprovisionalapplicationsunder37CFR1.53(b))

AttorneyDocketNo. 3838-33689
FirstInventor Rogelio Delgado, Jr.
Title LOBE CONTROL FOR AN ACOUSTIC HORN
ExpressMailLabelNo EL657041445US

JC971 U.S. PTO
09/843437

04/26/01

APPLICATIONELEMENTS

SeeMPEPchapter600concerningutilitypatentapplicationcontents.

- ☒ FeeTransmittalForm(e.g.,PTO/SB/17)
(Submitoriginalandaduplicateforfeeprocessing)
- ☒ Applicantclaimssmallentitystatus.
See37CFR1.27.
- ☒ Specification[TotalPages] 15
(preferredarrangementssetforthbelow)
-Descriptivetitleoftheinvention
-CrossReferencetoRelatedApplications
-StatementRegardingFedsponsoredR&D
-Referenceto sequencelisting,atable,
oracomputerprogramlistingappendix
-Backgroundoftheinvention
-BriefSummaryoftheinvention
-BriefDescriptionoftheDrawings(iffiled)
-DetailedDescription
-Claim(s)
-AbstractoftheDisclosure
- ☒ Drawing(s) (35U.S.C.113) [TotalSheets 6]
- ☒ OathorDeclaration [TotalPages 2]
a. ☒ Newlyexecuted(originalorcopy)
Copyfromapriorapplication(37CFR1.63(d))
(forcontinuation/divisionalwithBox18completed)
i. ☐ DELETIONOFINVENTOR(S)
Signedstatementattacheddeletinginventor(s)
namedinthepriorapplication,see37CFR
1.63(d)(2)and1.33(b).
- ☐ ApplicationDataSheet.See37CFR1.76

ADDRESSTO: AssistantCommissionerforPatents
BoxPatentApplication
Washington,DC20231

- ☐ OMorCD-Rinduplicate,largeableor
ComputerProgram(Appendix)
- Nucleotideand/orAminoAcidSequenceSubmission
(ifapplicable,allnecessary)
a. ☐ ComputerReadableForm(CRF)
b. SpecificationSequenceListingon:
i. ☐ OMorCD-R(2copies);or
ii. ☐ per
c. ☐ Statementsverifyingidentityofabovecopies

ACCOMPANYINGAPPLICATIONPARTS

- ☐ AssignmentPapers(coversheet&document(s))
- ☐ 37CFR3.73(b)Statement ☒ Powerof
(whenthereisanassignee) Attorney
- ☐ EnglishTranslationDocument(ifapplicable)
- ☐ InformationDisclosure ☐ CopiesofIDS
Statement(IDS)/PTO-1449 Citations
- ☐ PreliminaryAmendment
- ☒ ReturnReceiptPostcard(MPEP503)
(Shouldbespecificallyitemized)
- ☐ CertifiedCopyofPriorityDocument(s)
(ifforeignpriorityisclaimed)
- ☐ NonpublicationRequestunder35U.S.C.122
(b)(2)(B)(i).ApplicantmustattachformPTO/SB/35
oritsequivalent.
- ☐ Other:

18.If aCONTINUINGAPPLICATION, checkappropriatebox,andsupplytherequisiteinformationbelowand inapreliminaryamendment,
orinanApplicationDataSheetunder37CFR1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part(CIP)

ofpriorapplicationNo.: 60 , 200, 197

Priorapplicationinformation:

Examiner

GroupArtUnit:

ForCONTINUATIONORDIVISIONALAPPSonly: Theentiredisclosureofthepriorapplication,fromwhichanoathordeclarationissuppliedunder
Box5b, isconsideredapartofthedisclosureoftheaccompanyingcontinuationordivisionalapplicationand isherebyincorporatedbyreference.
Theincorporation canonly berelieduponwhenaportionhasbeeninadvertentlyomittedfromthesubmittedapplicationparts.

19.CORRESPONDENCEADDRESS

☐ CustomerNumberorBarCodeLabel

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or

☒ Correspondenceaddressbelow

Name Daniel L. Boots, Esq.
Address BINGHAM SUMMERS WELSH & SPILMAN LLP
2700 Market Tower, 10 West Market Street
City Indianapolis State IN ZipCode 46204-2982
Country United States Telephone 317-635-8900 Fax 317-236-9907

Name(Print/Type) Daniel L. Boots RegistrationNo.(Attorney/Agent) 33,159
Signature [Signature] Date 4-26-01

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09843437-042601

FEETRANSMITTAL for FY2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 561.00)

Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Rogelio Delgado, Jr.
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	3838-33689

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0410
 Deposit Account Name BINGHAM SUMMERS WELSH & SPILMAN

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity
 Fee Fee Fee Fee Fee Description
 Code (\$) Code (\$)

101710201355 Utility filing fee

106320206160 Design filing fee

107490207245 Plant filing fee

108710208355 Reissue filing fee

11415021475 Provisional filing fee

Fee Paid

\$355

SUBTOTAL(1) (\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims 34 -20**= 14 x \$9 = \$126
 Independent Claims 5 -3**= 2 x \$40 = \$80
 Multiple Dependent Claims =

Large Entity Small Entity
 Fee Fee Fee Fee Fee Description
 Code (\$) Code (\$)

103182039 Claims in excess of 20

1028020240 Independent claims in excess of 3

104270204135 Multiple dependent claim, if not paid

1098020940 **Reissue independent claims over original patent

110182109 **Reissue claims in excess of 20 and over original patent

SUBTOTAL(2) (\$ 206.00)

**0 number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
10513020565		Surcharge-late filing fee or oath	
1275022725		Surcharge-late provisional filing fee or coversheet	
139130139130		Non-English specification	
1472,5201472,520		For filing a request for ex parte reexamination	
112920*112920*		Requesting publication of SI prior to Examiner action	
1131,840*1131,840*		Requesting publication of SI after Examiner action	
11511021555		Extension for reply within first month	
116390216195		Extension for reply within second month	
117890217445		Extension for reply within third month	
1181,390218695		Extension for reply within fourth month	
1281,890228945		Extension for reply within fifth month	
119310219155		Notice of Appeal	
120310220155		Filing a brief in support of an appeal	
121270221135		Request for oral hearing	
1381,5101381,510		Petition to institute a public use proceeding	
14011024055		Petition to revive-unavoidable	
1411,240241620		Petition to revive-unintentional	
1421,240242620		Utility issue fee (or reissue)	
143440243220		Design issue fee	
144600244300		Plant issue fee	
122130122130		Petition to the Commissioner	
1235012350		Processing fee under 37 CFR 1.17(q)	
126180126180		Submission of Information Disclosure Stmt	
5814058140		Recording each patent assignment per property (times number of properties)	
146710246355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149710249355		For each additional invention to be examined (37 CFR § 1.129(b))	
179710279355		Request for Continued Examination (RCE)	
169900169900		Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$ 0.00)

SUBMITTED BY

Name (Print/Type)	Daniel L. Boots	Registration No. (Attorney/Agent)	33,159	Telephone	317-635-8900
Signature	<i>Daniel L. Boots</i>	Date	4-26-01		

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Date of Deposit April 26, 2001, I certify that
these papers are being deposited with the United States
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service under 37 CFR 1.10(a) on the date indicated
below and is addressed to Assistant Commissioner for
Patents, Box Patent Application, Washington, D.C. 20231

A handwritten signature in black ink, reading "Lisa D. Harden". The signature is fluid and cursive, with the first name "Lisa" and last name "Harden" clearly legible. The middle initial "D." is smaller and less distinct. The signature is written over a horizontal line.

Lisa D. Harden

TELETYPE UNIT